

# CHAPTER

# 15

**Clinical, radiological, histological,  
and genetic characteristics of  
long-term survivors of diffuse  
intrinsic pontine glioma:  
A collaborative report from  
the International and  
SIOPE DIPG Registries**

Veldhuijzen van Zanten SEM\*, Hoffman LM\*, Colditz N, Baugh J,  
Chaney B, Hoffmann M, Lane A, Fuller C, Miles L, Hawkins C,  
Bartels U, Bouffet E, Goldman S, Leary S, Foreman NK, Packer R,  
Warren KE, Broniscer A, Kieran MW, Minturn J, Comito M, Broxon E,  
Shih CS, Khatua S, Chintagumpala M, Carret AS, Escorza NY,  
Hassall T, Ziegler DS, Gottardo N, Dholaria H, Doughman R,  
Benesch M, Drissi R, Nazarian J, Jabado N, Boddaert N,  
Varlet P, Giraud G, Castel D, Puget S, Jones C, Hulleman E, Modena P,  
Giagnacovo M, Antonelli M, Pietsch T, Gielen GH, Jones DTW, Sturm  
D, Pfister SM, Gerber NU, Grotzer MA, Pfaff E, von Bueren AO,  
Hargrave D, Solanki G, Jadrijevic Cvrlje F, Kaspers GJL, Vandertop WP,  
Grill J, Bailey S, Biassoni V, Massimino M, Calmon R, Sanchez E,  
Bison B, Warmuth-Metz M, Leach J, Jones B, van Vuurden DG,  
Kramm CM<sup>†</sup>, Fouladi M\*

\* shared authorship

Submitted.

## ABSTRACT

**INTRODUCTION** Diffuse intrinsic pontine glioma (DIPG) is a pediatric malignant brainstem tumor with median survival of <1 year. The International and European Society for Paediatric Oncology DIPG Registries collaborated to assess clinical, radiological, and histo-molecular characteristics of long-term survivors (LTS) of DIPG. **METHODS** Data were abstracted from registry databases, including patients from North America, Australia, Germany, Austria, Switzerland, the Netherlands, Italy, France, United Kingdom, and Croatia. **RESULTS** Among 1,130 patients with radiographically confirmed DIPG, 122 (11%) were excluded. Of 1,008 remaining, 101 (10%) were LTS (overall survival  $\geq 2$  years). Median survival was 11 months (range 0-167 months), and 1-, 2-, 3-, 4- and 5-year OS were 42.3%, 9.6%, 4.3%, 3.2%, and 2.2%, respectively. Median age was similar between LTS (7.2 years) and short-term survivors (STS; 6.8 years). LTS more commonly presented at age <3 or >10 years ( $p < 0.0001$ ) and with longer symptom duration ( $p < 0.0001$ ). Cranial nerve (CN) palsy was more common in STS ( $p = 0.008$ ), as was ring enhancement ( $p = 0.007$ ), necrosis ( $p = 0.009$ ), larger cranio-caudal (CC) tumor dimension ( $p = 0.04$ ), and extra-pontine extension ( $p = 0.04$ ) on diagnostic magnetic resonance imaging. LTS more commonly received chemotherapy at diagnosis ( $p = 0.005$ ). Histological grade was not significantly different between the groups, but in multivariate analysis, LTS and STS were more likely to harbor *HIST1H3B* ( $p = 0.002$ ) and *H3F3A* ( $p = 0.04$ ) mutations, respectively. **DISCUSSION** We report a number of clinical, radiological, and genetic factors that correlate with survival for children with DIPG. These findings are important for risk stratification in future clinical trials and demonstrate the prognostic value of molecular data gained by performing diagnostic biopsy.

## INTRODUCTION

Diffuse intrinsic pontine glioma (DIPG) is a highly malignant brainstem tumor of middle childhood. Despite therapy, median survival is <1 year [1]. Long-term survival in DIPG, historically defined as overall survival (OS) >2 years, has anecdotally been reported in <10% of patients [1]. Clinical and imaging characteristics previously associated with longer survival include younger age, longer symptom latency, and lack of ring enhancement on diagnostic magnetic resonance imaging (MRI) [1,2]. Up to 90% of DIPGs harbor a pathognomonic histone point mutation in *H3F3A* (65% of cases) or *HIST1H3B* (25% of cases); the latter appears to confer longer survival. Ten percent of patients have a histone 3 wild-type tumor [3].

Involved-field radiation therapy (RT) remains standard of care but confers only a 3 to 4-month survival advantage. Benefit from neoadjuvant [4] or adjuvant [2,5] chemotherapy has not been consistently confirmed in prospective trials.

The rarity and inconsistent classification of DIPG, an imaging-based diagnosis, have long hampered cross-cohort comparisons. The primary aim of this multi-national collaborative effort between the International DIPG Registry (IDIPGR) and European Society for Paediatric Oncology DIPG Registry (SIOPE-DIPGR) [6,7] was to define clinical, radiological, histological, and molecular factors associated with short and long-term survival in the largest cohort of centrally-reviewed DIPGs to date.

## METHODS

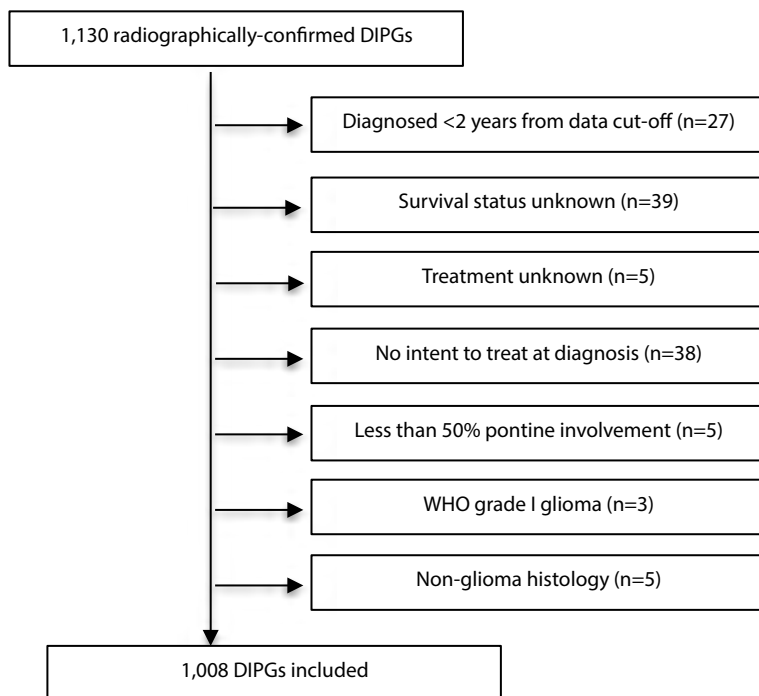
### Study population

The study was approved by the institutional review board at Cincinnati Children's Hospital Medical Center and included 1,130 patients with centrally radiographically-confirmed DIPG diagnosed from 1990–2015. Patients from the IDIPGR (n = 409) were age 0–27 years from the United States, Canada, and Australia. Those from the SIOPE-DIPGR (n = 721) were age 0–21 years from the Netherlands, Germany, Austria, Switzerland, Italy, France, United Kingdom, and Croatia. Patients were referred to the registries as previously described [6,7]. All patients with radiographically-confirmed DIPG, regardless of age or symptom duration, were eligible. Exclusion criteria are listed in Figure 1. Patients with neurofibromatosis type 1 were excluded from the IDIPGR but not the SIOPE-DIPGR.

### Clinical variables

Clinical data were abstracted from registry databases (JB, BC, SVZ, NC) using standardized Case Report Forms (CRFs). Cerebellar signs included dysmetria, ataxia, dysarthria, or

nystagmus (without associated CN palsies). Pyramidal tract signs included mono-, hemi-, or quadriplegia, hyperreflexia, or positive Babinski sign. Since OS (time from diagnosis to death or last follow-up) is regarded as the most reliable outcome variable for DIPG, progression-free survival was not reported. Long-term survivors (LTS) and short-term survivors (STS) were those with OS  $\geq 24$  or  $< 24$  months, respectively. Very long-term survivors (VLTS) were those with OS  $\geq 60$  months.



**FIGURE 1** | Flow chart of patients excluded from this study.

WHO = World Health Organization

### Radiological variables

Anonymized diagnostic MRIs were centrally reviewed by one of six neuro-radiologists (MW, BB, ES, RC, JL, BJ). MRI findings were classified as “typical” or “unlikely DIPG, other diagnosis suspected”; the latter were excluded. Typical DIPGs arose from and diffusely involved  $\geq 50\%$  of the pons. Exclusionary features included focally exophytic morphology, marked diffusion restriction, or secondary brainstem involvement by a tumor centered elsewhere in the brain or spine. Diagnostic imaging from all LTS and 10% of STS were cross-validated by a neuro-radiologist from the other registry.

## Histopathological and molecular variables

Histology was defined according to the 2007 WHO grading system [8]. Forty-three IDIPGR tumor specimens were centrally reviewed (CF, CH). Databases were queried for the most common genomic alterations reported in DIPG. Molecular methods varied by institution. Histone mutations were assessed by Sanger sequencing, whole exome sequencing, whole genome sequencing, polymerase chain reaction, or immunohistochemistry (IHC) to detect H3 K27M-mutant protein or H3 K27 tri-methylation (H3 K27me3). K27M mutation in *H3F3A* (H3.3 K27M) or *HIST1H3B* (H3.1 K27M) was considered mutually exclusive, even if both were not evaluated.

## Statistical analyses

Continuous and categorical patient characteristics were summarized by median (range) and frequency (%), respectively. Univariate differences between categorical and continuous variables were assessed by Fisher exact and Wilcoxon rank sum tests, respectively. Multivariate logistic regression was performed on variables with <15% missing data and univariate *p*-value of <0.1, with the exception of transverse tumor dimension (excluded due to high correlation with CC dimension). For each subgroup analysis, a multivariate logistical regression model, including statistically significant variables from the primary multivariate analyses, was used to determine subgroup significance and adjusted for confounding factors. The Kaplan-Meier method was used to estimate survival as a continuous variable. Statistical significance was defined as *p*-value <0.05. Statistical evaluation was done using R (Vienna, Austria, Version 3.1.3).

## RESULTS

### Survival

A total of 1,008 patients met inclusion criteria, including 374 and 634 from the IDIPGR and SIOPE-DIPGR, respectively. Median survival was 11 months (range 0–167 months), and 1-, 2-, 3-, 4- and 5-year OS were 42.3%, 9.6%, 4.3%, 3.2%, and 2.2%, respectively. Clinical, treatment, histological, molecular, and outcome data of 101 LTS (10%) and 16 VLTS (1.6%) are shown in Figure 2 and Supplementary Figure 1, respectively. KM survival analyses for age, symptom duration, chemotherapy, histology, and molecular status are shown in Figure 3.

ID	Age	Sex	CN/Prsly	Cerebellar	Pyramidal	Symptom Duration (weeks)	Chemo	RT	Re-RT	Chemo Type	Tissue	WHO Grade	H3 Status	Status as LFU	OS (months)
DIPG 149	73	No	No	Yes	< 6	Yes	Yes	No	Other						24
DIPG 164	50					Yes	Yes	No	Other						24
DIPG 336	189	Yes	No	Yes	6-12	Yes	Yes	No							24
DIPG 354	125	Yes	No	Yes	< 6	Yes	Yes	No							24
FR 299	94	Yes	Yes	No	< 6	No	Yes	No			H3.3				24
GER 368	81	No	No		< 6	Yes	Yes	No	HDAC	IV					24
GER 399	188	Yes	No	No	12-24	Yes	Yes	No							24
GOSH 30	49	Yes	No	Yes	< 6	Yes	Yes	No							24
NETH 162	204	Yes	Yes	Yes	6-12	Yes	Yes	No	EGFR	IV	H3.3				24
DIPG 215	71					Yes	Yes	No	Unkn						24
DIPG 155	145	No	No	No	6-12	Yes	Yes	No		II					24
DIPG 22	93	No	No	Yes	< 6	Yes	Yes	No	Bev	IV	H3.1				24
DIPG 83	97	Yes	No	Yes	< 6	Yes	Yes	No	Other						25
DIPG 160	54		Yes	Yes		Yes	Yes	No	Unkn						25
FR 333	70	No	Yes	No	< 6	Yes	Yes	Yes	EGFR	IV	H3.1				25
GER 370	93	Yes	No	Yes	< 6	Yes	Yes	No	HDAC						25
IT 19	44	Yes	Yes	No	< 6	Yes	Yes	Yes	EGFR						25
IT 79	241	Yes	Yes	Yes	6-12	Yes	Yes	Yes	EGFR						25
NETH 111	97	No	No	Yes	< 6	No	Yes	No							25
DIPG 40	78				< 6	Yes	Yes	No	EGFR						25
DIPG 371	77	Yes	No	No	< 6	Yes	Yes	No	Other						26
FR 250	93	Yes	Yes	Yes	< 6	Yes	Yes	No	EGFR						26
FR 258	75	No	No	Yes	< 6	Yes	Yes	No	EGFR			H3			26
FR 270	91	Yes	No	Yes	6-12	No	Yes	No							26
FR 337	39	Yes	Yes	Yes		Yes	Yes	No	EGFR	III	H3.1				26
GER 383	42	Yes	Yes	Yes	< 6	Yes	Yes	No	HDAC						26
DIPG 247	188	No	Yes	Yes	6-12	Yes	No								26
FR 350	79	Yes	No	Yes		Yes	Yes	Yes	mTOR	II	H3.3				27
GER 372	77				< 6	Yes	Yes	No							27
DIPG 35	145	Yes	No	Yes	< 6	Yes	Yes	No	Bev	III					27
DIPG 96	78	Yes	No	Yes		Yes	Yes	Yes							28
DIPG 526	98	Yes	Yes	No	6-12	Yes	Yes	No	Bev	II	H3.3				28
FR 366	170	Yes	No	Yes		Yes	Yes	Yes	EGFR			WT			28
GER 375	142	No	No	No	>24	Yes	Yes	No		IV	WT				28
GER 390	59	No	Yes	Yes	< 6	Yes	Yes	No							28
IT 10	64	Yes	No	Yes		Yes	Yes	No		III					28
GER 393	138	Yes	No	No	6-12	Yes	Yes	No							29
IT 11	48	Yes	Yes	Yes	< 6	Yes	Yes	No							29
GER 369	106	Yes	Yes		< 6	Yes	Yes	No	HDAC	II					30
GER 376	136	No	No	Yes	< 6	Yes	Yes	No	HDAC						30
GER 401	54	Yes	No	Yes	< 6	Yes	Yes	No							30
IT 20	207	Yes	No	No	< 6	Yes	Yes	Yes	EGFR	IV					30
IT 81	104	Yes	No	No	12-24	Yes	Yes	Yes	EGFR						30
NETH 141	183	Yes	Yes	Yes	12-24	Yes	Yes	No		IV					30
DIPG 157	56	Yes	Yes	Yes	12-24	Yes	Yes	No	EGFR						31
DIPG 79	33				6-12	Yes	Yes	No							32
GER 373	78	Yes	Yes	Yes	< 6	No	Yes	No							32
NETH 160	147	Yes	Yes	Yes	< 6	No	Yes	No							32
DIPG 107	54					Yes	Yes	No		II					32
DIPG 31	34	No	No	No	12-24	Yes	Yes	No		IV	H3.3				32
DIPG 486	92	Yes	No	Yes	6-12	Yes	Yes	Yes	mTOR						33
GER 388	214	No	Yes	No	12-24	Yes	Yes	No		IV	H3.3				33
DIPG 114	27				6-12	Yes	Yes	No							33
DIPG 16	264	No	No	Yes	6-12	Yes	Yes	No	Bev	II					34

**Age**

- < 3 years
- 3-10 years
- >10 years

**Sex**

- Female
- Male

**CN/Cerebellar/Pyramidal**

- Yes
- No

**Symptom Duration**

- < 6 weeks
- 6-12 weeks
- 12-24 weeks
- >24 weeks

**RT, Chemo, Re-RT**

- Yes
- No

**Chemo Type**

- Cytotoxic
- Targeted
- Both

**Tissue**

- Biopsy
- Autopsy
- Both

**WHO Grade**

- II
- III
- IV

**Histone Status**

- H3.3
- H3.1
- Wild-Type

**Status as LFU**

- Alive
- Deceased

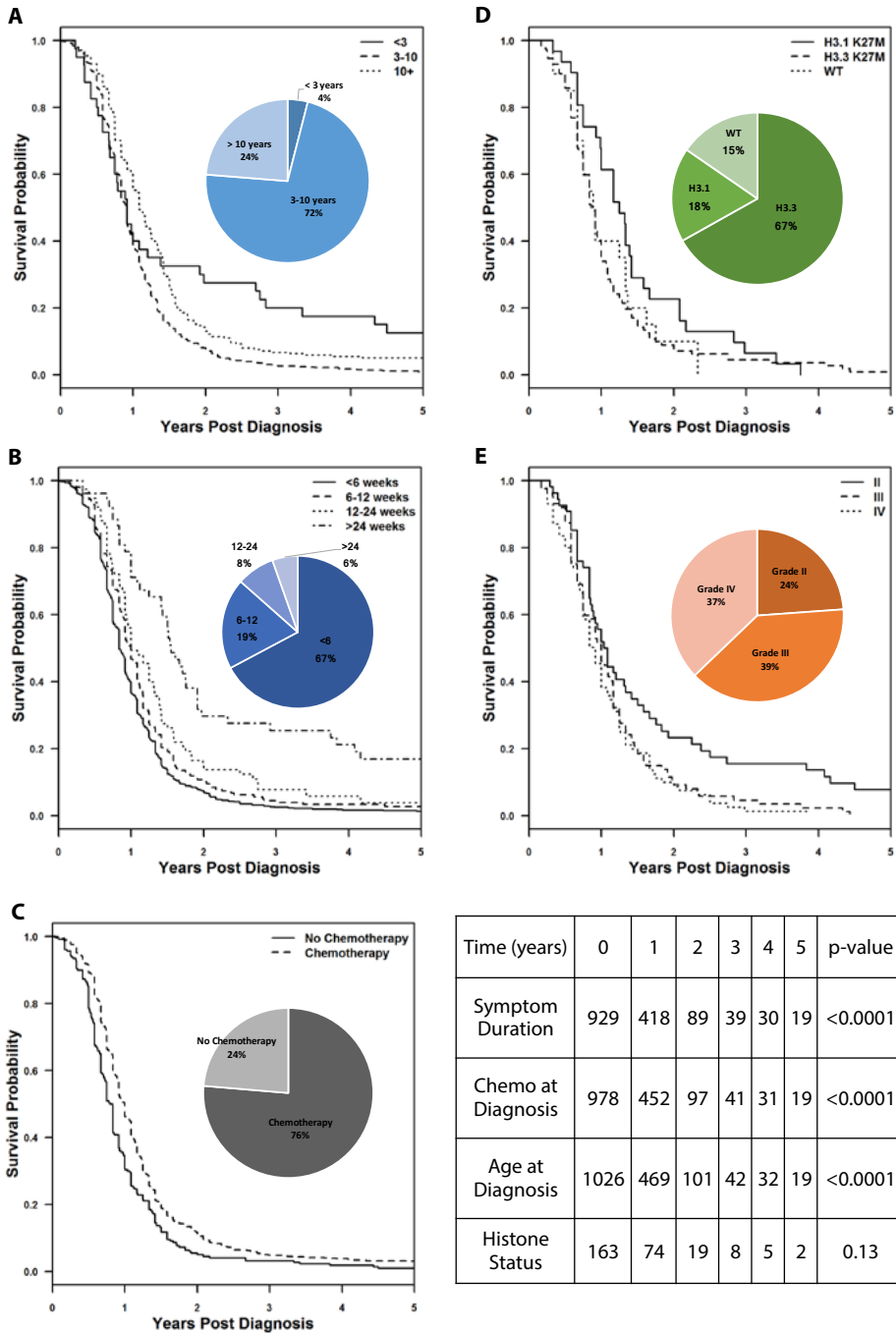
**Survival**

- ≥2 years
- ≥3 years
- ≥4 years
- ≥5 years

ID	Age	Sex	CN Palsy	Cerebellar	Pyramidal	Symptom Duration (weeks)	Chemo	RT	Re-RT	Chemo Type	Tissue	WHO Grade	H3 Status	Status at LFU	OS (months)
CRO 6	49	Yes	Yes	No	12-24	Yes	Yes	No							34
FR 332	89	Yes	Yes	No	< 6	Yes	Yes	No	EGFR	IV	H3.1				34
GER 371	97	Yes	No	No	< 6	Yes	Yes	No							34
DIPG 119	69	Yes	No	Yes	< 6	Yes	Yes	No		IV	H3.1				35
GER 114	91	Yes	No	No	< 6	Yes	Yes	No							35
IT 17	202	Yes	Yes	Yes	>24	Yes	Yes	Yes	EGFR						35
IT 13	86	Yes	Yes	No	6-12	Yes	Yes	No	EGFR						36
DIPG 81	321	No	No	No	6-12	Yes	Yes	No	EGFR	II					36
CRO 7	135	Yes	Yes	No	< 6	Yes	Yes	No		III					38
GER 379	39	No	No	No	< 6	Yes	Yes	No							39
DIPG 332	198	Yes	Yes	Yes	6-12	Yes	Yes	No	Other						39
FR 365	26	Yes	Yes	No		No	Yes	Yes			H3.3				40
IT 18	70	Yes	Yes	No	< 6	Yes	Yes	No	EGFR						40
NETH 184	109	No	Yes	Yes	12-24	No	Yes	No		IV	H3.1				41
GER 374	41	Yes	No	Yes	< 6	Yes	Yes	No							42
GER 398	158	Yes	Yes	No	>24	Yes	Yes	Yes		III	H3.1				45
DIPG 452	60				< 6	Yes	Yes	Yes	Unkn						46
GER 378	57	No	Yes	No	< 6	Yes	Yes	No		II					46
NETH 133	46	Yes	Yes	Yes	>24	No	Yes	No		IV					46
DIPG 68	158	No	No	No	6-12	Yes	Yes	No	Bev						49
GER 274	48	No	Yes	Yes	>24	Yes	No	No		II	H3.3				49
GER 400	127	Yes	No	No	12-24	Yes	Yes	No	HDAC	II					50
GOSH 12	42	Yes	No	Yes	>24	Yes	Yes	No							50
DIPG 251	80	No	No	Yes		No	Yes	No		III	H3.3				52
FR 302	24	Yes	No	No		Yes	Yes	No	EGFR	III	H3.3				52
DIPG 193	51				< 6		Yes	No							52
GER 392	42	Yes	Yes	No	>24	Yes	Yes	No							53
NETH 112	27	Yes	No	No	6-12	No	Yes	No		II					54
NETH 98	50	Yes	Yes	Yes	>24	No	Yes	No							56
DIPG 46	70				< 6	Yes	Yes	No							58
GER 385	149	No	No	No	>24	Yes	Yes	No							59
GOSH 14	108	Yes	No	No	< 6	Yes	Yes	Yes							60
GER 380	161	Yes	No	No	>24	Yes	Yes	No							67
GER 386	23	Yes	No	Yes	6-12	Yes	No	No							70
IT 15	33	Yes	Yes	No	12-24	Yes	Yes	No	EGFR						70
DIPG 449	169				>24	Yes	Yes	No	Other						72
GER 387	169	No	No	No	6-12	Yes	Yes	Yes							75
NETH 120	134	No	Yes	Yes	< 6	No	Yes	No							75
NETH 194	26	No	Yes	Yes	>24	Yes	Yes	No							77
DIPG 641	288	Yes	No	No	< 6	Yes	Yes	Yes	Bev	II	H3.3				78
GER 391	123	Yes	No	Yes	< 6	Yes	Yes	No							81
IT 14	101	Yes	No	No	12-24	Yes	Yes	No	EGFR						86
GER 397	23	Yes	Yes	No	< 6	Yes	Yes	Yes							89
GER 377	174	Yes	No	No	>24	Yes	Yes	No	HDAC						99
DIPG 528	33				< 6	Yes	Yes	No	Other						101
UK 9	185	Yes	Yes	No	>24	Yes	Yes	No	EGFR	II					102
IT 12	83	Yes	No	Yes	< 6	Yes	Yes	No							156
<b>No Treatment at Diagnosis</b>															
GER 382	37	Yes	No	No	< 6	No	No	No							56
NETH 164	28	Yes	Yes	Yes	>24	No	No	No							135

**FIGURE 2 |** Clinical, histological, and molecular characteristics of long-term survivors of DIPG.

CN = cranial nerve, RT = radiation therapy, WHO = World Health Organization, LFU = last follow up, OS = overall survival, HDAC = histone deacetylase inhibitor, EGFR = epidermal growth factor receptor, Unkn = Unknown, Bev = bevacizumab



**FIGURE 3** | Kaplan Meier curves representing overall survival based on **(A)** patient age (years), **(B)** symptom duration (months), **(C)** chemotherapy at diagnosis, **(D)** histone status, or **(E)** WHO grade.



### Clinical presentation

Median age was 6.8 years (range 0–26.8 years); 4% were age <3 years at diagnosis. Of those with data available, 755/917 (82%), 468/915 (51%), and 567/920 (62%) presented with at least one CN palsy, pyramidal tract, or cerebellar sign, respectively. On univariate analysis (Table 1), LTS were more likely to be <3 or >10 years old ( $p < 0.0001$ ) and have longer symptom duration at diagnosis ( $p < 0.0001$ ), while STS were more likely to present with  $\geq 1$  CN palsy ( $p = 0.008$ ). Multivariate analyses (Table 2) confirmed the association of age ( $p = 0.02$ ) and symptom duration ( $p < 0.0001$ ) with long-term survival but failed to associate CN palsy with short-term survival.

**TABLE 1** | Results of univariate analyses comparing clinical, radiological, and histological characteristics of long- and short-term survivors of DIPG.

Clinical Variables		LTS (n = 101)	STS (n = 907)	p-value
Registry	International	33 (9%)	341 (91%)	0.39
	SIOPE	68 (11%)	566 (89%)	
Gender	Male	51 (50%)	420 (46%)	0.46
	Female	50 (50%)	485 (54%)	
Age (years)	Median	7.2 (1.9–26.8)	6.8 (0–26.5)	0.61
	<3	11 (11%)	29 (3%)	
	3-10	57 (56%)	668 (74%)	
	>10	33 (33%)	205 (23%)	
Symptom Duration (weeks)	<6	45 (51%)	564 (69%)	<0.0001
	6-12	19 (21%)	156 (19%)	
	12-24	11 (12%)	62 (8%)	
	>24	14 (16%)	35 (4%)	
Symptoms at Diagnosis	Cranial Nerve Palsy		0.008	
	Yes	63 (73%)		692 (83%)
	No	25 (27%)	137 (17%)	
	Pyramidal Tract Sign		0.5	
	Yes	39 (44%)		429 (52%)
	No	50 (56%)	397 (48%)	
Cerebellar Sign		0.08		
Yes	46 (53%)		521 (63%)	
No	41 (47%)	312 (37%)		
CSF Diversion	Yes	22 (22%)	196 (22%)	1
	No	79 (78%)	709 (78%)	
Chemotherapy at Diagnosis	Yes	85 (88%)	644 (75%)	0.005
	No	12 (12%)	214 (25%)	
Tumor Size (mm)	AP	36 (18–57)	36 (14–70)	0.98

**TABLE 1** | Results of univariate analyses comparing clinical, radiological, and histological characteristics of long- and short-terms survivors of DIPG. (Continued)

<b>Radiological Variables</b>				
Tumor Size (mm)	Transverse	43 (15–76)	45 (17–81)	0.08
	CC	40 (20–88)	43 (16–107)	<b>0.04</b>
Pons Size (mm)	AP	36 (21–50)	35 (20–58)	0.12
	Trans	49 (31–62)	48 (22–78)	0.62
Extra-Pontine Extension	Yes	78 (86%)	739 (92%)	<b>0.04</b>
	No	13 (14%)	60 (8%)	
Hemorrhage	Yes	11 (14%)	136 (19%)	0.35
	No	68 (86%)	588 (81%)	
Necrosis	Yes	20 (26%)	306 (42%)	<b>0.009</b>
	No	56 (74%)	424 (58%)	
Hydrocephalus	Yes	14 (18%)	136 (18%)	1
	No	65 (82%)	632 (82%)	
Tumor Margin	Ill-defined	64 (75%)	605 (82%)	0.14
	Well-defined	21 (25%)	132 (18%)	
Ring Enhancement	Yes	19 (23%)	281 (38%)	<b>0.007</b>
	No	63 (77%)	457 (62%)	
<b>Histological Variables</b>				
Biopsy	Yes	38 (38%)	249 (28%)	<b>0.03</b>
	No	61 (62%)	652 (72%)	
Autopsy	Yes	11 (18%)	65 (10%)	<b>0.04</b>
	No	49 (82%)	597 (90%)	
WHO Grade	II	12 (41%)	40 (21%)	0.08
	III	9 (31%)	76 (40%)	
	IV	8 (28%)	73 (39%)	

SIOPE = European Society for Paediatric Oncology, CSF = cerebrospinal fluid, AP = anterior-posterior, CC = cranio-caudal, WHO = World Health Organization.

## Therapy

Thirty-eight patients (3%) who did not receive therapy at diagnosis were excluded. Clinical characteristics of treated versus untreated patients are shown in Supplementary Figure 2A. Untreated patients were more often <3 years old at diagnosis. Seven underwent biopsy (1 diffuse astrocytoma [DA], 2 anaplastic astrocytoma [AA], and 4 glioblastoma multiforme [GBM]), and four underwent autopsy (1 AA, 2 GBM, 1 primitive neuroectodermal tumor [PNET]). At progression, one received chemotherapy; none received RT. Median OS for untreated patients was 1 month (range 0–135 months). Two were LTS (both infants), including one who is alive 135 months from diagnosis (Supplementary Fig. 2B).

**TABLE 2 |** Results of multivariate Cox proportional analysis of clinical, radiological, and biological variables predicting survival.

<b>Clinical Variables</b>		<b>Odds Ratio (95% CI)</b>	<b>p-value</b>
Age (years)	<3	1	<b>0.02</b>
	3-10	0.35	
	>10	0.79	
Symptom Duration (weeks)	<6	0.18	<b>&lt;0.0001</b>
	6-12	0.26	
	12-24	0.43	
	>24	1	
Cranial Nerve Palsy	Yes	0.57	0.08
	No	1	
Chemotherapy at Diagnosis	Yes	3	<b>0.01</b>
	No	1	
<b>Radiological Variables</b>			
Tumor Dimension (mm)	AP	-	0.58
	Trans	0.99	
	CC	-	
Extra-Pontine Extension	Yes	0.95	0.91
	No	1	
<b>Molecular Variables</b>			
<i>H3F3A</i> Mutation	Yes	1	<b>0.04</b>
	No	1.14	
<i>HIST1H3B</i> Mutation	Yes	1	<b>0.002</b>
	No	0.78	
<i>ACVR1</i> Mutation	Yes	1	0.09
	No	0.75	
<i>TP53</i> Mutation	Yes	1	0.36
	No	1.09	

Necrosis, enhancement, and WHO grade were excluded from multivariate analysis since >15% of data for these variables were missing. Multivariate analysis of genomic data adjusted for age, symptom duration, and use of chemotherapy at diagnosis.

Status of both RT and chemotherapy was known for 968 patients of whom 721 (74%) received both, 231 (24%) RT alone, and 16 (2%) chemotherapy alone. In uni- and multivariate analyses, LTS were significantly more likely to have received chemotherapy at diagnosis ( $p = 0.005$  and  $p = 0.01$ , respectively). Chemotherapy type was known for 702 patients (70%); 350 (50%), 193 (27%), and 159 (23%) received cytotoxic only, targeted only, or both, respectively. On univariate analysis, there was no survival difference based on type of targeted therapy (Table 1). However, multivariate logistical

regression adjusted for age and symptom duration demonstrated greater odds of long-term survival with use of an epidermal growth factor receptor (EGFR) inhibitor (OR 2.32,  $p = 0.03$ ) or bevacizumab (OR 2.67,  $p = 0.03$ ), an anti-vascular endothelial growth factor (VEGF) antibody, at diagnosis (Table 2).

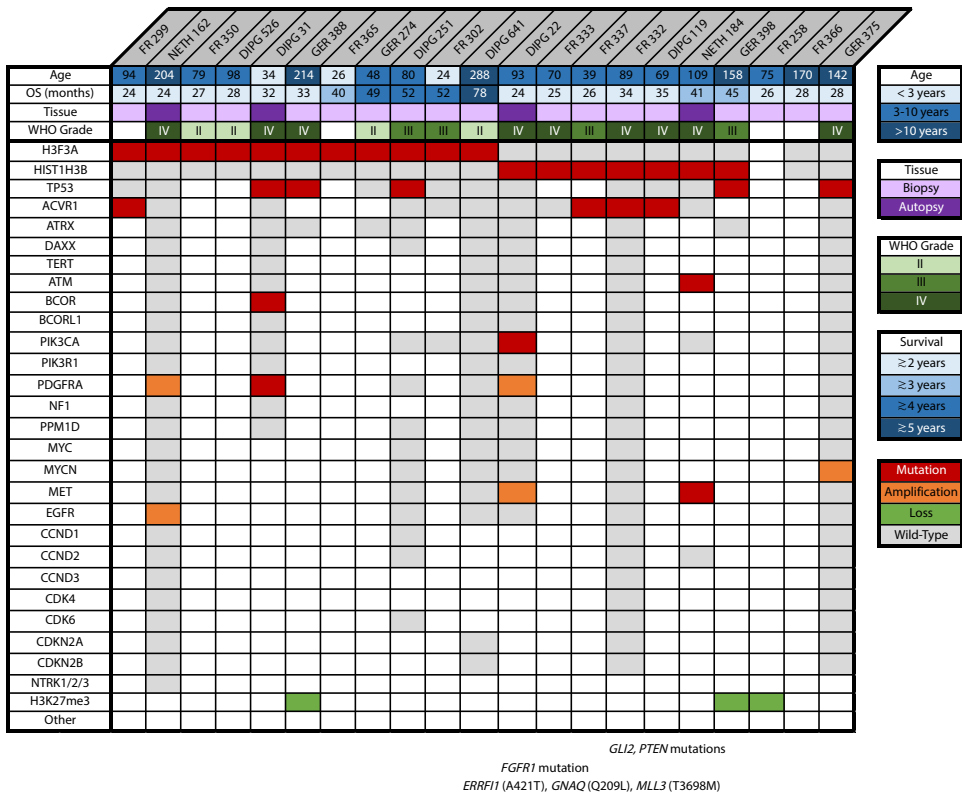
## Imaging

Table 1 summarizes diagnostic imaging characteristics. STS demonstrated larger CC tumor dimension ( $p = 0.04$ ), extra-pontine extension ( $p = 0.04$ ), tumor necrosis ( $p = 0.009$ ), and ring enhancement ( $p = 0.007$ ). Hemorrhage, hydrocephalus, and tumor margins were not statistically different between LTS and STS. Metastatic disease was present in 18 STS (2%) and no LTS at diagnosis.

## Histology and molecular

More SIOPE-DIPGR patients (245/634; 39%) underwent biopsy than IDIPGR patients (54/372; 14%), while more IDIPGR patients (61/376; 16%) underwent autopsy (16/363; 4% SIOPE-DIPGR) (Supplementary Table 1). LTS from both registries more often underwent biopsy ( $p = 0.04$ ; Table 1). Histology and WHO grade were available for 288 biopsies and 76 autopsies. WHO grade (II-IV) did not influence survival. Biopsy specimens included GBM ( $n = 80$ ), AA ( $n = 76$ ), anaplastic oligodendroglioma ( $n = 10$ ), DA ( $n = 37$ ), fibrillary astrocytoma ( $n = 4$ ), oligodendroglioma ( $n = 2$ ), low-grade astrocytoma ( $n = 8$ ), or unknown ( $n = 71$ ). Histology of autopsy tissue included GBM ( $n = 48$ ), AA ( $n = 12$ ), DA ( $n = 3$ ), and unknown ( $n = 13$ ).

Genomic data were available for 181 patients (18%) (Supplemental Table 2; available upon request), including 21 LTS (Fig. 4). Patients with H3.1 K27M had longer median OS (15 months), and H3.1 K27M was strongly associated with long-term survival in multivariate analysis ( $p = 0.002$ ; Table 2). In contrast, H3.3 K27M was associated with short-term survival STS ( $p = 0.04$ , median survival 10.4 months). Patients with H3 wildtype tumors ( $n = 26$ ) had a median OS of 10.5 months. WHO grade was not associated with histone mutation status ( $p = 0.18$ ). Mutations in *TP53* or *ACVR1* were not associated with survival. Interestingly, of those age >10 years at diagnosis, who as a group demonstrated higher likelihood of long-term survival, 38/50 (78%) harbored H3.3 K27M, 9 (18%) were H3 wild-type, and only 3 (6%) had H3.1 K27M.



**FIGURE 4 |** Genomic aberrations in long-term survivors of DIPG.

WHO = World Health Organization

## DISCUSSION

This study confirms the relevance of some previously reported survival-associated factors in patients with DIPG and offers unique insight into 101 LTS (including 16 VLTS). Median survival for all 1,008 patients was 11 months [1,5]. Median survival of LTS and VLTS was 33 (range 24–156) and 78 (range 60–156) months, respectively. Two-year OS of 9.7% in this study was consistent with large retrospective studies [2,5] that reported 9.2% and 9% 2-year OS in 153 and 316 DIPG patients, respectively.

Previously, 43 VLTS had been reported in the literature [1,9–14]. In Supplementary Figure 1, we compare characteristics of 22 previously published VLTS to our 16 VLTS, eight of whom (0.02% of the total cohort) are still alive with median follow up of 6.5 years (range 5–13 years). Five-year OS of 2.3% in this study is comparable to 2.6% reported by Jackson *et al.* [1] in 191 DIPG patients; however, two of their five VLTS would have been excluded from our study for atypical MRI features. Freeman *et al.* reported

nine VLTS (6.9%) among 130 DIPGs treated with hyper-fractionated RT (POG-8495) [11], though only four (3%) would have met inclusion criteria on our study.

In this study, age <3 or >10 years, longer symptom latency, lack of CN palsy, and chemotherapy at diagnosis were predictors of long-term survival. Of 41 patients age <3 years at diagnosis, 36 received upfront RT ± chemotherapy; five received chemotherapy alone. Although median OS for younger children (11 months) was the same as the entire cohort, a greater proportion were LTS or VLTS. Other studies have reported similar findings [1,2,5,15]. Broniscer *et al.* described 10 patients age <3 years with radiographically-confirmed DIPG, who received RT ± chemotherapy (n = 8) or chemotherapy only (n = 2) at diagnosis (n = 6) or progression (n = 4). Five (50%) were LTS, including one treated without RT. Wagner *et al.* similarly reported higher median survival (13.6 versus 10 months) in 13 children with DIPG age <4 years at diagnosis; only eight (61%) received RT [5]. Limited molecular data on five children with DIPG age <3 years in this study precluded making conclusions about biologic differences in this age group. We postulate that unique mechanisms, such as potentially oncogenic NTRK fusions described in infantile midline HGG and DIPG [16], may underlie their observed survival advantage.

In our study, patients age >10 years at diagnosis had longer median OS (13 months) and were more likely to be LTS. Bailey *et al.* similarly reported five LTS (all >9 years) among 43 radiographically-confirmed DIPGs [17]. By contrast, Veldhuijzen van Zanten *et al.* reported no difference in OS between patients age 9–18 years versus younger [15]. Although pathogenic mechanisms, such as low-grade histology or IDH mutation may influence survival in older patients, 78% of patients >10 years old in our study harbored the poor prognostic H3.3 K27M mutation.

Consistent with prior reports [1,2], symptoms for >24 weeks at diagnosis was strongly associated with longer survival in uni- and multivariate analyses. CN palsy at diagnosis predicted shorter survival on univariate but not multivariate analysis. Previous studies reporting association of CN palsy with shorter survival included all brainstem tumors, not just DIPG, and/or diagnosis based on CT scan, making comparison difficult [18].

Neoadjuvant or adjuvant chemotherapy at diagnosis correlated with long-term survival in both uni- and multivariate analyses. This finding differs from the long-standing view that chemotherapy provides no survival benefit for DIPG, a principle largely based on small, non-randomized clinical trials. Effective cross-comparison of therapeutic studies for DIPG has been hindered by wide variation in inclusion criteria, as demonstrated in meta-analyses by Hargrave *et al.* and Jansen *et al.* in which only six of 29 DIPG-specific therapeutic trials between 1984 and 2012 had comparable eligibility [19,20]. In a randomized study, Wagner *et al.* reported better median OS in DIPG patients treated

with adjuvant chemotherapy after RT (11.3 months) compared to those receiving RT alone (9.5 months) ( $p = 0.03$ ) [5]. Similarly, others have reported superior median OS in DIPGs treated with adjuvant or neoadjuvant chemotherapy and RT [4].

Multivariate logistical regression demonstrated higher odds of long-term survival with use of EGFR inhibitors (e.g. gefitinib, erlotinib, nimotuzumab, rindopepimut, or cetuximab) ( $p = 0.03$ ) or bevacizumab ( $p = 0.03$ ) at diagnosis. The EGFR pathway has been a much studied therapeutic target in DIPG [21]. The phase II study of gefitinib with RT in newly-diagnosed DIPGs noted 2-year OS of 19.6% with PFS >36 months in 3 patients [22]. In Geoerger *et al.*'s biopsy-mandated phase I study of erlotinib with RT in newly-diagnosed DIPG, median OS was 12 months and EGFR over-expression trended towards longer PFS (10.1 vs 6.3 months;  $p = 0.058$ ) but not OS [23]. Despite only modest activity of nimotuzumab in progressive DIPG patients, two lived for 663 and 481 days from the start of therapy [24].

Despite efficacy in adult GBM, bevacizumab has shown little activity in pediatric trials for newly-diagnosed [25] or progressive DIPG [26] (median PFS 2.3 months). However, in a phase I trial of vandetanib, a selective VEGFR2 and EGFR inhibitor, in patients with newly-diagnosed DIPG, Broniscer *et al.* reported 2-year OS of 21.4%, and higher levels of plasma VEGF were associated with longer PFS ( $p = 0.02$ ) [28]. Modestly improved survival in some patients receiving EGFR or VEGF-directed therapies may correspond to tumor-specific pathways. Numbers were too few to assess patient outcomes based on genomically-matched targeted therapy in our study, but our findings support prospective assessment of biopsy tissue to define potential therapeutic targets, as recently undertaken in two multi-institutional/multi-national trials (NCT01182350, NCT02233049).

Children who did not receive treatment at diagnosis experienced early death with a median survival of 1 month (Supplementary Fig. 2A). Consistent with prior literature [5], these patients were more often age <3 years at diagnosis (26% versus 4% of treated patients). Interestingly, two young children (ages 28 and 37 months) who did not receive therapy were ultimately LTS (Supplementary Fig. 2B).

Based on the radiographic definition of DIPG by Barkovich *et al.* [29], patients with <50% pontine involvement ( $n = 5$ ) were excluded. Similar to a prior report [5], these five patients had better median OS (20 months), two among them were LTS. Greater CC tumor dimension and extra-pontine extension were associated with shorter survival; the former contrasts with a report by Young-Poussaint *et al.*, in which larger tumor at diagnosis was associated with longer survival [30].

As previously described [30], tumor necrosis ( $p = 0.005$ ) and ring enhancement ( $p = 0.005$ ) were associated with short-term survival in univariate analysis. Jansen *et al.* developed a validated multi-parametric prediction model [2] in which age <3 years, longer symptom duration, and use of chemotherapy were predictors of longer survival, while ring enhancement predicted shorter survival ( $p = 0.001$ ). Unfortunately, in the current study, tumor necrosis and ring enhancement could not be assessed in multivariate analysis due to missing data.

The biological landscape of DIPG has been intensely studied since 2012, when first-in-human histone mutations were described [14]. Here, we report the largest patient cohort with known histone mutation status and clinical and radiological correlation to date. Our findings confirm the independent association of H3.1 K27M and H3.3 K27M with long- and short-term survival, respectively [3,14]. In our study, median OS did not significantly differ between histone wildtype and mutant DIPGs, which contrasts with Khuong-Quang *et al.*'s report of dramatically longer median OS (4.59 years) for patients with histone-WT tumors [14].

On univariate analysis, WHO grade was not statistically different between LTS and STS (Table 1), but on KM analysis, WHO grade II was associated with longer survival (Fig. 3E). In the most recent WHO classification for CNS tumors [32], K27M-mutant midline gliomas have been reclassified as WHO grade IV regardless of histology making this point less relevant. Tumors classified as PNET (now called "embryonal tumor not otherwise specified") may represent true embryonal mimics of DIPG or may result from sampling error in the context of intratumoral heterogeneity. Three such patients were identified (Supplementary Table 3) and excluded from the primary analysis. Embryonal pontine tumors often demonstrate sharper margination and eccentric location, while others have radiological characteristics indistinguishable from DIPG [33], like those in our study. Young age is the most consistent distinguishing clinical factor between an embryonal pontine tumors and DIPG [33]. One of three embryonal patients in our study was 27 months old at diagnosis.

A limitation of this study is use of disease-specific registry data that is susceptible to enrollment bias on the part of participating institutions, which tend to be large academic centers, and patients or families who self-refer. Variation in standards of care for patients with DIPG (e.g. enrollment on clinical trials) may have also influenced findings. The anonymity of registry data makes some overlap of registry patients with those previously reported possible, biasing our findings toward similarity with published literature since they are not completely independent cohorts. The primary strength of this study is the requirement for central review of diagnostic imaging and cross validation of findings by highly-experienced pediatric neuro-radiologists and use of



standardized CRFs. This study represents the largest, most comprehensively-annotated cohort of radiographically-confirmed DIPGs reported, offering the most accurate rates of long- and very-long term survival for this rare tumor. Identification of robust survival-associated factors in this study is vital for development of prognostic sub-groups and emphasizes patient subsets from whom the most could be learned from analyzing pre-treatment biopsy tissue. Understanding biological differences that confer survival advantage in DIPG paves the road toward development of sub-group-specific therapies that may improve outcome in this devastating disease.

## SUPPLEMENTARY DATA

Study	ID	Age	Sex	CN Palsy	Cerebellar	Pyramidal	Symptom Duration (weeks)	Chemo	RT	Re-RT	Chemo Type	Tissue	WHO Grade	H3 Status	Status at LFU	OS (months)
IDIPG/SIOPE DIPG Registries	GOSH 14	108	Yes	No	No	< 6	Yes	Yes	Yes							60
	GER 380	161	Yes	No	No	>24	Yes	Yes	No							67
	GER 386	23	Yes	No	Yes	6-12	Yes	No	No							70
	IT 15	33	Yes	Yes	No	12-24	Yes	No	EGFR							70
	DIPG 449	169				>24	Yes	Yes	No	Other						72
	GER 387	169	No	No	No	6-12	Yes	Yes	Yes							75
	NETH 120	134	No	Yes	Yes	< 6	No	No	No							75
	NETH 194	26	No	Yes	Yes	>24	Yes	Yes	No							77
	DIPG 641	288	Yes	No	No	< 6	Yes	Yes	Yes	Bev	II	H3.3				78
	GER 391	123	Yes	No	Yes	< 6	Yes	Yes	No							81
	IT 14	101	Yes	No	No	12-24	Yes	Yes	No	EGFR						86
	GER 397	23	Yes	Yes	No	< 6	Yes	Yes	Yes							89
	GER 377	174	Yes	No	No	>24	Yes	Yes	No	HDAC						99
	DIPG 528	33				< 6	Yes	Yes	No	Other						101
UK 9	185	Yes	Yes	No	>24	Yes	Yes	No	EGFR	II					102	
IT 12	83	Yes	No	Yes	< 6	Yes	Yes	No							156	
Ref. 1	SJCRH 5	197	Yes	Yes	Yes	< 6	Yes	Yes	EGFR							64
	SJCRH 3	88	Yes	Yes	Yes	>24	Yes	Yes	EGFR							94
	SJCRH 4	101	Yes	Yes	Yes	< 6	Yes	Yes	Other							117
	SJCRH 1	13	Yes	Yes	Yes	>24	Yes	Yes			II					120
	SJCRH 2	30	Yes	Yes	Yes	>24	Yes	Yes								158
Ref. 11	POG 9	78	Yes	Yes	No	>24	No	Yes								64
	POG 6	144	No	No	No	< 6	No	Yes			III					78
	POG 8	86	Yes	Yes	Yes	6-12	No	Yes			II					86
	POG 2	96	Yes	Yes	Yes	6-12	No	Yes			II					89
	POG 4	66	Yes	Yes	Yes	>24	No	Yes			II					91
	POG 7	86	Yes	No	No	6-12	No	Yes								92
	POG 5	180	Yes	No	No	6-12	No	Yes			III					96
	POG 3	144	Yes	Yes	Yes	< 6	No	Yes								99
POG 1	132	No	Yes	No	>24	No	Yes			II					109	
Ref. 14	Sick Kids 1	20									IV	WT				75+
	Sick Kids 2	180									III	WT				190+
	Sick Kids 3	30									III	WT				158+
	Sick Kids 4	36									IV	WT				120+
Ref. 13	Finland 1	156			"typical" clinical findings	Yes	Yes	No	Other	II/III						60+
Ref. 9	NCI 1	31				Yes	Yes	No	Other							60+
Ref. 10	Toronto 1	4	Yes	No	No	< 6	Yes	No	No							183
	Toronto 2	42	Yes	No	No	< 6	Yes	Yes	No							233

Age
< 3 years
3-10 years
>10 years
Sex
Female
Male
CN/Cerebellar/ Pyramidal
Yes
No
Symptom Duration
< 6 weeks
6-12 weeks
12-24 weeks
>24 weeks
RT, Chemo, Re-RT
Yes
No
Chemo Type
Cytotoxic
Targeted
Both
Tissue
Biopsy
Autopsy
WHO Grade
II
III
IV
Status as LFU
Alive
Deceased
Histone Status
H3.3
H3 WT

**SUPPLEMENTARY FIGURE 1** | Very long-term survivors of DIPG in the current study compared to those described in the literature. Yellow highlight indicates atypical radiological features that would have been excluded in the current study.

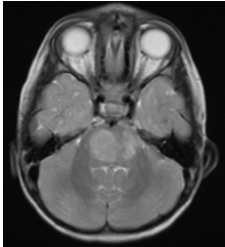
CN = cranial nerve, RT = radiation therapy, WHO = World Health Organization, LFU = last follow up, OS = overall survival, HDAC = histone deacetylase inhibitor, EGFR = epidermal growth factor receptor, Unkn = Unknown, Bev = Bevacizumab

**A**

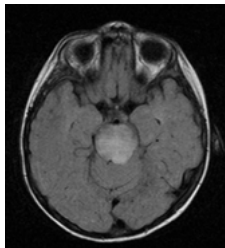
Clinical Variables		Untreated (n=38)	Treated (n=1,008)	
LTS	Yes	2 (5%)	101 (10%)	
	No	36 (95%)	907 (90%)	
Age (years)	Median	6.3 (0-15.4)	6.8 (0-26.8)	
	<3	10 (26%)	40 (4%)	
	≥3	28 (74%)	963 (96%)	
Symptom Duration (weeks)	<6	26 (68%)	609 (67%)	
	6-12	8 (21%)	175 (19%)	
	12-24	1 (4%)	73 (8%)	
	>24	3 (8%)	49 (6%)	
Symptoms at Diagnosis	Cranial Nerve Palsy	Yes	26 (79%)	755 (82%)
		No	7 (21%)	162 (18%)
	Pyramidal Tract Sign	Yes	17 (52%)	429 (52%)
		No	16 (48%)	397 (48%)
	Cerebellar Sign	Yes	20 (62%)	521 (63%)
		No	12 (38%)	312 (37%)
Median OS (range)		1 month (0-135)	11 months (0-167)	

**B**

GER 382



NETH 164



ID	GER 382	NETH 164
Age	37	28
Gender		
CN Palsy	Yes	Yes
Cerebellar	No	Yes
Pyramidal	No	Yes
Symptom Duration	< 6	>24
Chemo	No	No
RT	No	No
Re-RT	No	No
Status at LFU		
OS (months)	56	135

**SUPPLEMENTARY FIGURE 2 (A)** Comparison of characteristics of patients who received therapy or did not receive therapy at diagnosis. **(B)** MRI images and clinical characteristics of two DIPG long-term survivors who did not receive therapy.

**SUPPLEMENTARY TABLE 1** | Number of biopsies and autopsies performed by country or region.

<b>SIOPE-DIPGR</b>	<b>Biopsy n, %</b>	<b>Autopsy n, %</b>
France	109/113, 96%	2/115, 2%
Germany/Switzerland/Austria	81/278, 29%	4/16, 25%
The Netherlands	29/114, 25%	10/113, 9%
Italy	17/79, 22%	0/71, 0%
Croatia	2/7, 29%	0/5, 0%
United Kingdom	7/43, 16%	0/43, 0%
<b>IDIPGR</b>		
United States/Canada/Australia	54/372, 15%	61/376, 16%

**SUPPLEMENTARY TABLE 3** | Clinical, radiological, and molecular characteristics of patients with PNET.

<b>Patient ID</b>	<b>Age (months)</b>	<b>Symptom Duration</b>	<b>Symptoms</b>	<b>Treatment at Diagnosis</b>	<b>OS (months)</b>	<b>Source of Tissue</b>	<b>Molecular</b>
DIPG-0051	27	Unknown	Unknown	RT+vorinostat	6	Biopsy	WT H3.3
DIPG-0165	53	<6 weeks	CN, pyramidal	RT+vorinostat	7	Biopsy	WT PDGFRA and EGFR
DIPG-0236	62	<6 weeks	Unknown	RT	5	Autopsy	Mutant TP53 and NF1 Amplified MYC-N WT H3.3, H3.1, ACVR1, PDGFRA, EGFR, ATRX, DAXX, PIK3CA, MET, CDKN2A/B, CCND1/2, CDK6, PPM1D

RT=radiation therapy, CN=cranial nerve, OS=overall survival, WT=wild-type

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